

Publications Request Form

Documents are available free of cost; both in hard copy and from ORI's web site at <http://ori.hhs.gov>, except as noted.

GENERAL

- PHS Offices Dealing with Abuses of the Research Process.** Explains the different PHS offices that are concerned with abuses of the research process. Updated July 2001. On web site only.
- List of Federal Research Misconduct Officials.** Other Federal agencies that have provided ORI with contact information are listed. Updated May 2001. On web site only.
- HHS Announces Plans to Improve Research Integrity and Prevent Research Misconduct.** Describes the system that the Department of Health and Human Services uses to promote integrity in biomedical and behavioral research supported or conducted by agencies of the U.S. Public Health Service. Issued October 1999.
- "The Whistleblower's Conditional Privilege to Report Allegations of Scientific Misconduct." Prepared by ORI lawyers, describes protections for whistleblowers in defamation suits. Issued December 1993.
- "**ORI Addresses Issues in Inquiries and Investigations.**" Summarizes the PHS position on issues concerning allegations of misconduct in PHS-supported research. Based on a series of articles published in the *ORI Newsletter*. Revised June 2001.

REGULATIONS AND GUIDELINES

- Responsibility of PHS Awardee and Applicant Institutions for Dealing with and Reporting Possible Misconduct in Science.** Public Health Service (PHS) regulation 42 CFR Part 50, Subpart A that requires each institution that applies for a research, research-training, or research-related grant or cooperative agreement under the Public Health Service Act to have established uniform policies and procedures for investigating and reporting instances of alleged or apparent scientific misconduct. Issued August 1989.
- Guidelines for the Conduct of Research in the Intramural Research Program at NIH.** Intended to provide a framework for the fair and open conduct of research without inhibiting scientific freedom and creativity. The guidelines indicate what is commonly considered appropriate scientific conduct in PHS intramural research, research training, and related activities. Revised January 1997. Hard copy only.
- General Procedures and Inquiry Instructions for Scientific Misconduct in Intramural Research.** Serves as a reference book for handling allegations of misconduct in science involving PHS intramural research. Issued April 1994. Hard copy only.
- ORI Model Policy and Procedures for Responding to Allegations of Scientific Misconduct.** Intended as guidance only. The model policy was designed primarily for use by institutions that are developing or refining their policies and procedures for handling scientific misconduct cases where ORI has jurisdiction. The model procedures were designed to provide more detailed guidance on how to conduct inquiries and investigations in cases where ORI will review and follow-up on actions that the institution decides to take. Revised February 1997.

- ORI Guidelines for Institutions and Whistleblowers.** Intended to provide guidance in responding to possible retaliation against whistleblowers in cases involving PHS extramural research and provide information to whistleblowers regarding the appropriate method for submitting a retaliation complaint. Issued November 1995.
- ORI Handbook for Institutional Research Integrity Officers.** Designed as a reference work for extramural institutional officials who have responsibility for handling allegations of misconduct involving PHS research. Issued February 1997.
- Co-Sponsoring Research Integrity Meetings: Instructions for Proposals.** Guidance for developing proposals requesting ORI support for research integrity meetings. Includes instructions and an application form. Revised September 2001.
- Managing Allegations of Scientific Misconduct.** A guidance document for editors. Includes suggestions for handling suspect manuscripts, responding to ORI requests for assistance, correcting the literature, and helpful editorial policies. Issued January 2000.
- Notice of Proposed Rulemaking Regarding Public Health Service Protection of Research Misconduct Whistleblowers.** 65 Fed. Reg. 70830-70841 (November 28, 2000).

REPORTS

- Workshop on Data Management in Biomedical Research.** Report of a workshop held in April 1990. Hard copy only.
- ORI/AAAS Conference on Plagiarism and Theft of Ideas.** Summary report of conference held on June 21-22, 1993.
- Integrity and Misconduct in Research.** 1995 Report of the Commission on Research Integrity to the Secretary of Health and Human Services, the House Committee on Commerce and the Senate Committee on Labor and Human Resources.
- Consequences of Whistleblowing.** Report on the study of the “Consequences of Whistleblowing for the Whistleblower in Misconduct in Science Cases” conducted by the Research Triangle Institute. Issued October 1995.
- Consequences of Being Accused of Misconduct.** Report on the “Survey of Accused but Exonerated Individuals in Research Misconduct Cases” conducted by the Research Triangle Institute. Issued June 1996.
- Report on 2000 Annual Report on Possible Research Misconduct.** The report summarizes the information that Extramural institutions filed with ORI to maintain their active assurances and the actions that were taken as a result of those submissions. Issued September 2001
- Managing Integrity in Research: Conference Summary.** A report on a conference co-sponsored by the University of Michigan and ORI that was held in Ann Arbor, Michigan on February 10-11, 1998. Issued March 1998.
- Study of Inquiry Reports Not Submitted to ORI.** Study that reviewed reports on 21 inquiries that were reported by institutions in their 1994 or 1995 Annual Report on Possible Research Misconduct. Issued July 1998.
- Scientific Misconduct Investigations, 1993-1997.** An analysis of the 150 scientific misconduct investigations closed by ORI from 1993 to 1997. Issued December 1998.

- Management of Biomedical Research Laboratories: A National Conference Proceedings.** A report on a conference co-sponsored by the University of Arizona and ORI that was held in Tucson, AZ on October 1-3, 1998. Issued in 1999.
- Analysis of Institutional Policies for Responding to Allegations of Scientific Misconduct.** Content analysis of 156 institutional policies for responding to allegations of research misconduct. On web site only.
- Analysis of Guidelines for the Conduct of Research Adopted by Medical Schools or Their Components.** Content analysis of guidelines for conduct of research from 98 of the 125 U.S. accredited medical schools. On web site only.
- ORI Education Program: A Needs Assessment.** Survey and semi-structured interviews assessing educational needs of the extramural research community related to the responsible conduct of research and the handling of research misconduct allegations. On web site only.

ARTICLES

- “Problems in Research Integrity Arising from Misconceptions about the Ownership of Research”** reprint of 1993 article by Kay L. Fields and Alan R. Price from *Academic Medicine*, Vol. 68, No. 9, pp. S60-S64. Hard copy only.
- “Anonymity and Pseudonymity in Whistleblowing to the U.S. Office of Research Integrity”** reprint of 1998 article by Alan R. Price from *Academic Medicine*, Vol. 73, pp 467-472.

ORI PUBLICATIONS

- Annual Reports.** Annual reports starting in 1994 describe ORI's significant accomplishments during the calendar year. Single copies of the 1993 ORI Annual Report and the Biennial Report from 1991-92 are also available upon request.
- ORI Newsletter.** Quarterly publication of ORI. The *ORI Newsletter* is sent to PHS agencies and all applicant and awardee institutions that hold an active assurance with ORI, and others that have asked to be on the mailing list. It is meant to facilitate common interests in handling allegations of scientific misconduct and promoting integrity in PHS-supported research.

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