

Promoting the Responsible Conduct of Research for College and University Leaders

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Ms. Anne Ackenhusen is the Director of the Office of Research Misconduct Proceedings at the University of Washington, where she has handled research misconduct matters since 2006. Her office oversees all aspects of the research misconduct process for the entire university, including the medical school. Ms. Ackenhusen was one of the founders of, and continues to be active in, the National Association of Research Integrity Officers (ARIO). Prior to joining the University of Washington, Ms. Ackenhusen practiced civil litigation for many years. Ms. Ackenhusen earned her BS in zoology and MMA in marine policy from the University of Rhode Island, and her JD from Boston College Law School.



PATRICIA BROWN, VMD, MS, DACLAM
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Dr. Patricia Brown is the Director, Office of Laboratory Animal Welfare (OLAW), NIH. OLAW oversees the use of animals in all Public Health Service-supported research by providing guidance on the PHS Policy on Humane Care and Use of Laboratory Animals; monitoring compliance with the Policy; investigating allegations of noncompliance with Federal animal welfare standards; and supporting educational programs that further the humane care of research animals. She received her Bachelor of Science degree in Animal Science from Pennsylvania State University and her veterinary degree from University of Pennsylvania. She served in the U.S. Air Force and while on active duty earned a Master's degree in Laboratory Animal Medicine from Penn State. Dr. Brown joined NIH in 1986 and served in veterinary clinical and management positions in the NIH's Veterinary Resources Branch, the National Cancer Institute and the Office of Animal Care and Use before joining OLAW in 2006 as the Director.



LISA BUCHANAN, MAOM, CIP
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Ms. Lisa Buchanan investigates allegations of noncompliance with the Department of Health and Human Services (HHS) regulations for the protection of human research subjects. Prior to her employment with HHS in 2008, she was the Director of Operations for Chesapeake Research Review (an independent Institutional Review Board (IRB) in Columbia, Maryland). Ms. Buchanan has over 20 years of experience in the field of human subjects' protections; specifically, in areas of regulatory compliance, IRB operations and regulatory training. She has held human research protections leadership positions at Children's National Medical Center in Washington, DC; the National Institute of Allergy and Infectious Diseases (NIAID/NIH); George Washington University; and the National Cancer Institute's (NCI/NIH) Central IRB.

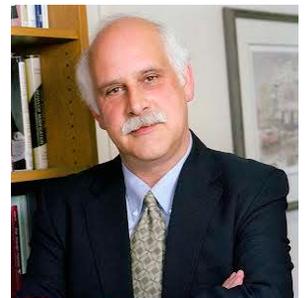


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Dr. Cheryl Cameron is Vice Provost for Academic Personnel at the University of Washington. She is a Professor in the Department of Oral Health Sciences within the School of Dentistry and an Adjunct Professor in the College of Education. In addition, she holds the Virginia and Prentice Bloedel Professorship. The Office of the Vice Provost for Academic Personnel serves as the institutional portal for academic personnel (faculty, librarians, and other academic staff) administration. The units within her office include Academic Human Resources, Equal Opportunity and Affirmative Action, Research Misconduct Proceedings, Strategy and Information Management, and ROTC.

JOHN CARFORA, EdD, CCEP
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Dr. John Carfora holds graduate degrees from a number of universities, including The London School of Economics, Harvard University, and a doctorate from Teachers College, Columbia University. A recipient of several international research awards, Dr. Carfora has lectured throughout the USA, Europe, Canada, Africa, and in Central and South America. He served as Director of International Education at the Russian Academy of Management (Moscow), and was founding Curator of the Sir Leonard Bertram Schapiro Collection at the British Library of Political and Economic Sciences (London). Dr. Carfora was a Fulbright Scholar to Ireland, an IREX Fellow to Russia, and received the Distinguished Service Award from the National Council of University Research Administrators. He is former Co-Chair and a current member of "I-Group" – a National Academy of Sciences committee on international research. Dr. Carfora is a Board member of the Higher Education Teaching and Learning Association, an Advisor to the Fulbright Association of Greater Los Angeles, and served on the respective Boards of the National Organization of Research Development Professionals and the National Council of University Research Administrators. He is a former member of the Board of the Alumni and Friends of The London School of Economics (1982-1990). Dr. Carfora co-edited four books in 2014-2015:



- *Inquiry-based Learning for Faculty and Institutional Development: A Conceptual and Practical Resource for Educators (Volume 1)*;
- *Inquiry-Based Learning for the Arts, Humanities, and Social Sciences (Volume 2)*;
- *Inquiry-Based Learning for Multidisciplinary Programs (Volume 3)*; and
- *Inquiry-Based Learning for Science, Technology, Engineering, and Math (STEM) Programs (Volume 4)*.

Dr. Carfora co-authored the bestselling book *The Art of Funding and Implementing Ideas: A Guide to Proposal Development and Project Management* (Sage, 2011), and wrote the Foreword to *Universitas: The Social Restructuring of Higher Education in America* (Praeger, 1998). He also co-authored a popular article on the New Deal economist Stuart Chase (Harvard Magazine, September-October, 2004). Dr. Carfora is currently writing a book on leadership, decision-making and the academic presidency.

DANIEL DENECKE, PhD**Vice President, Best Practices & Strategic Initiatives****Council of Graduate Schools**ddenecke@cgs.nche.gov

Dr. Daniel Denecke is Vice President of Best Practices and Strategic Initiatives at the Council of Graduate Schools. In this capacity, he provides oversight across a range of exciting initiatives to improve graduate education and helps identify new directions for CGS's best practice area. Since joining CGS in 2002, Dr. Denecke has led several projects on responsible conduct of research and research integrity, including an NSF-funded project to develop models for identifying and using graduate learning outcomes to develop curricula for research ethics in international collaborations and the Project for Scholarly Integrity, funded by ORI. He has also led projects on professional development for graduate students seeking non-academic careers in the STEM workforce, preparing graduate students who aspire to faculty careers with skills to assess undergraduate learning, PhD Completion and Attrition (Phase I). Dr. Denecke has authored and co-authored a number of publications on a wide range of graduate education change initiatives. He received his PhD from the Johns Hopkins University and has served as faculty member at Georgetown University and the University of Maryland, College Park.



SUSAN GARFINKEL, PhD**Director, Division of Investigative Oversight (DIO), Office of Research Integrity****U.S. Department of Health and Human Services**susan.garfinkel@hhs.gov

Dr. Susan Garfinkel joined the Office of Research Integrity (ORI) in 2003 as a Scientist-Investigator and is currently the Director of the Division of Investigative Oversight (DIO). Previously, she worked at the American Health Assistance Foundation where she served as Director of Research Grants. Prior to that she managed the Lung Cell and Vascular Biology Research Program at the National Heart, Lung, and Blood Institute (NHLBI), National Institutes of Health (NIH); served as Science Advisor at the Einstein Institute for Science, Health and the Courts; served as Chair for the Public Education Committee of the International Society for Stem Cell Research; worked as an associate investigator at the Center for Molecular Medicine, Maine Medical Center Research Institute; and as a researcher in the Jerome H. Holland Laboratory, Department of Molecular Biology, American Red Cross. She received her doctorate in genetics from George Washington University and did postdoctoral training in the Holland Laboratory. She earned a bachelor's degree in biology at SUNY-Binghamton.



ZOË HAMMATT, JD, MPIL**Director, Division of Education and Integrity (DEI), Office of Research Integrity****U.S. Department of Health and Human Services**Zoe.Hammatt@hhs.gov

Ms. Zoë Hammatt has served as DEI's Director since June 2015. Prior to joining ORI, Ms. Hammatt was the University of Hawaii's Research Integrity Officer and Director of its Research Integrity Program. A licensed attorney with a Master's degree in Law and Ethics in Medicine, Ms. Hammatt also served on the faculty at the University of Hawaii medical and law schools and taught courses in biomedical ethics, regulatory compliance, human subjects protection, and responsible conduct of research. She has served as the Legal and Regulatory Specialist and Chair of the Ethics and Regulatory Subcommittee for the NIH-funded Research Centers in Minority Institutions (RCMI) Translational Research Network (RTRN), a national consortium of 18 institutions dedicated to reducing health disparities through collaborative basic, clinical, and translational research; as Chair she led the harmonization of the IRB process across all 18 RCMI institutions. Ms. Hammatt has been a Fellow with the St. Francis International Center for Healthcare Ethics in Honolulu since 1997 and, since 1995, has been involved in projects that empower women in Central and Eastern Europe to develop initiatives that support their



communities. Ms. Hammatt received a B.A. in English Literature at Loyola Marymount University in Los Angeles, a Master's degree in Law and Ethics in Medicine from the University of Glasgow in Scotland, and a J.D. from the University of Hawaii; after law school she practiced with Case Lombardi and Pettit, the oldest law firm in Hawaii.

DAVE HUDSON, PhD**Senior Associate VP for Research & Research Integrity Officer****Office of the Vice President for Research****University of Virginia**DHudson@virginia.edu

Dr. Dave Hudson received his undergraduate degree at the University of California at Riverside, and his Ph.D. from the University of Oregon. A post-doctoral fellowship followed, first at the University of Texas at Austin, and then in the Institute for Neuroscience at the University of Oregon. A position as Assistant Department Chair in the Department of Neurobiology and Physiology at Northwestern University was followed by Associate Department Chair in Biology at the University of Virginia. Currently, as the Senior Associate Vice President for Research at the University of Virginia, he works with the UVA Institutional Review Boards for human subjects research, the Animal Care and Use Committee, and oversees a range of other research compliance activities including research misconduct and conflicts of interest, Bayh-Dole and UAS. For fun, he flies small, general aviation aircraft and plays bass (though not at the same time).



SCOTT J. MOORE, PhD, JD, CFE**Investigative Scientist, National Science Foundation****Office of the Inspector General**smoore@nsf.gov

Dr. Scott Moore is an investigative scientist with the National Science Foundation (NSF), Office of Inspector General (OIG). Over the last 12 years, Dr. Moore has led some of the office's most complex administrative and research misconduct investigations and led civil and criminal investigations involving financial fraud and cybercrime statute. Dr. Moore also provides legal support for other investigators and leads the OIG's growing digital evidence program. He holds a Ph.D. in chemistry from Emory University and J.D./M.S.E.L. dual degree from Vermont Law School. As a bioinorganic chemist his research involved organometallic synthesis, NMR spectroscopy, method development, and statistical analysis. As an attorney his primary areas of concentration are administrative law, intellectual property law, and digital evidence.



KEITH C. NORRIS, MD, PhD**Professor, Department of Medicine****University of California-Los Angeles**knorris@ucla.edu

Dr. Keith C. Norris trained in nephrology at UCLA and has been instrumental in shaping national health policy and clinical practice guidelines for chronic kidney disease. After serving as Executive VP for Research and Health Affairs and Interim President at Charles Drew University he returned to UCLA in 2013 as a Professor of Medicine and Co-Director of the Clinical and Translational Science Institute Community Engagement Research Program. Dr. Norris was the founding Principal Investigator for the first national translational research network dedicated to reducing health disparities, the NIH-RCMI Translational Research Network. He has extensive experience in patient recruitment and retention, and community-partnered research within the South Los Angeles community.



At present he directs the NIH Diversity Program Consortium Coordination and Evaluation Center at UCLA, the centerpiece of a new \$230M NIH initiative to enhance diversity in the biomedical workforce. Most days he'd rather be snowboarding!

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Dr. Gary K. Ostrander is the Vice President for Research and President of the Research Foundation at Florida State University. He is also a Professor of Biomedical Sciences in the College of Medicine. Dr. Ostrander oversees all aspects of Florida State University's \$200 million research enterprise, including research centers, programs and institutes.

He has previously held faculty and administrative positions at Oklahoma State University, Johns Hopkins University, and the University of Hawaii. He served as Associate Dean of the Graduate School at OSU, Associate Provost for Research and Chair of the Graduate Board at Johns Hopkins University, and Vice Chancellor for Research and Graduate Education at the University of Hawaii's flagship campus in Honolulu. While in Hawaii he also served as Interim Dean of the Medical School from 2004-2006. Dr. Ostrander received a bachelor's degree in biology from Seattle University, a master's degree in biology from Illinois State University, and a doctorate from the University of Washington. Initially, his research focused on exploiting novel aspects of the biology of aquatic species to address fundamental questions of cancer biology. In recent years, his research program has expanded to include laboratory and field studies aimed at understanding the worldwide deterioration of coral reef ecosystems. He has authored/co-authored over 80 peer-reviewed publications, edited 4 books and co-authored a field guide.

The Office of Research at Florida State oversees the University's Council on Research and Creative Activity, Office of Federal Relations, Office of Compliance, and the Office of Intellectual Property Development and Commercialization and several other administrative and research units.



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Ms. Lauran Qualkenbush is the Director of the Office for Research Integrity and the Research Integrity Officer for Northwestern University. She is responsible for promoting the responsible conduct and appropriate administration of University research and is involved in Responsible Conduct of Research education across the University. She oversees the development of research-related training and responds to allegations of research misconduct as well as other types of research non-compliance. Ms. Qualkenbush is a founding member of the Association of Research Integrity Officers (ARIO) and active in ARIO leadership and programming. She holds a Bachelor of Science degree in biology from Xavier University in Cincinnati, Ohio and previously worked in Northwestern's IRB office and at the University of Chicago as a clinical research coordinator.



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Dr. Alexander Runko is a Scientist-Investigator at ORI, where he is involved with handling and analyzing allegations and reports of inquiries and investigations of research misconduct. He earned his B.S. in biochemistry from SUNY Stony Brook and received his Ph.D. at the University of Massachusetts Memorial Medical Center. His graduate studies focused on characterizing the expression of novel genes during embryonic brain development. Dr. Runko completed his postdoctoral training at NIH, where he analyzed the genetic and molecular mechanisms responsible for neurodegenerative diseases. Prior to joining ORI, Dr. Runko analyzed and evaluated grant awards on neurological diseases at NIH; and was a consultant for the pharmaceutical industry in drug development. Dr. Runko's research resulted in several authored peer-reviewed research articles, poster awards and invited presentations at national meetings, and an awarded grant.



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Ms. Emily Schriver joined The Ohio State University's (OSU) legal team in early 2015. She represents the OSU's Office of Research and counsels on research compliance and emerging technologies. Prior to starting at OSU, she was an associate at Hogan Lovells US LLP, in Washington, D.C., practicing in the firm's government contracts and federal research group. Ms. Schriver graduated from The Ohio State University and the Northwestern University Law School, and clerked for the Honorable Richard C. Tallman on The United States Court of Appeals for the Ninth Circuit. She has three young children who, she is proud to say, are all curious, budding researchers.



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Dr. Patricia Valdez serves at the NIH Extramural Research Integrity Officer in the Office of Extramural Programs (OEP), in the Office of Extramural Research (OER). In this role, she is responsible for training NIH Extramural staff and Research Integrity Officers on handling allegations of research misconduct in NIH-funded extramural activities and for performing the initial review and referral of allegations to the appropriate oversight agencies.

Dr. Valdez received her Ph.D. in Molecular and Cell Biology from the University of California, Berkeley and carried out her Postdoctoral training at Genentech. She joined the NIH as an Intramural Staff Scientist in the NIAID Laboratory of Clinical Infectious Disease. Prior to joining OER, Dr. Valdez served as the Manager of Publication Ethics for the American Society for Biochemistry and Molecular Biology (ASBMB) where she handled all allegations of scientific misconduct in ASBMB journals, including the Journal of Biological Chemistry.



JAY WALSH, PhD
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As Northwestern University's Vice President for research since 2007, Dr. Jay Walsh oversees a research infrastructure and annual research budget exceeding \$500 billion. He also directs the development and implementation of university-wide research-related strategic plans through leadership support for programs including the Energy Frontier Research Centers (EFRCs); the UI Labs consortium and national hub for digital manufacturing; and the Northwestern-Argonne Institute of Science and Engineering (NAISE). Under his leadership, the Office for Research has made significant contributions to the development and support of Northwestern's core research facilities and research centers and institutes, including the Institute for Policy Research (IPR), the Buffett Institute, the Chemistry of Life Processes Institute (CLP), the International Institute for Nanotechnology (IIN), and the Institute for Sustainability and Energy at Northwestern (ISEN). Additionally, Dr. Walsh has championed global impact programs such as the Mandela Washington Fellowship-Young African Leadership Initiative and the program on Equality Development and Global Studies (EDGS). Dr. Walsh contributes his insights and leadership beyond Northwestern and serves on the Board of Directors at Fermi National Laboratory and at the Chicago Council on Science and Technology; the Board of Governors at Argonne National Laboratory; the Illinois Governor's Innovation Council; Naval Research Advisory Committee; and the MIT Corporation Visiting Committee for Sponsored Research.



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Dr. Jennifer Yucel joined the Ohio State University in 2004 and is currently the Director of the Office of Research Compliance as well as the Research Integrity Officer. In this role, Jen facilitates research compliance activities across the university, specifically focusing on issues involving export controls, research misconduct, conflicts of interest, human gene transfer, human stem cell, and various other compliance areas. Born and raised in Southern California, Jen received her Ph.D. in Cellular Biology from the University of California, San Diego and completed her post-doctoral work at the Ludwig Institute for Cancer Research in La Jolla, California. In her spare time, Jen and her husband have a small horse farm where they greatly enjoy tending to and showing their horses at regional horse shows.

