



2014 ORI FOA Research on Research Integrity

Webinar - January 16, 2014

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Webinar Agenda

Introduction: Two foci of webinar:

I. Grants process - Roscoe Brunson

II. Content focus - Sandra Titus

Specific questions about your research question or application process need to be handled via a phone conversation with one of the two project officers. No live questions can be taken during the webinar.



I. Grants Process Overview:

- **Read and carefully attend to directions in FOA**
- **Screening Criteria**
- **Grant Application Requirements**
- **Budget and Budget Justification**





Grant Process: Where to find all application related information...

1. <http://www.grants.gov/>
2. Search using the “Find” function for the announcement and the CFDA# 93.085
3. Complete your application using the “Apply” function
4. The Program Announcement provides information and guidance related to applications.
5. Follow the Program Announcement carefully! The information provided in the Program Announcement takes precedence over any conflicting information in other grant related documents





Submission Mechanisms

- Electronic Submission (required): Grants.gov web portal
- Must be submitted no later than **5:00PM ET** on the due date: April 14, 2014.
- Applications submitted after the deadline will not be accepted
- Applications not conforming to the requirements will not be reviewed
- Applicants are encouraged to initiate electronic applications early in the development process to address any problems with submission prior to the deadline
- Grants.gov will send a tracking number after submitting the application
- Grants.gov will validate the application and send another notice





Screening of Application

- The application must:
 - 1. Be submitted electronically via www.grants.gov (unless a waiver has been granted) by April 14, 2014 at 5PM EST.
 - 2. The Project Narrative section of the application must be double-spaced, on the equivalent of 8 ½ " x 11" inch page size, with 1" margins on all sides (top, bottom, left and right) and font size not less than 12 points.
 - 3. The Project Narrative must not exceed 20 pages. NOTE: The following items do not count toward the page limit: required forms, including SF 424 and SF 424A, Budget justification and/or budget narrative;
 - 4. Appendices must not exceed 40 pages .
 - 5. Proposed budget amount does not exceed the maximum indicated in Range of Awards.





Grant Application Requirement

- **Be complete** and do not leave blanks on forms unless the information is not applicable
- The individual submitting the application must have the legal authority to act on behalf of the organization





Application Budget

424A Budget Information for Non-construction Programs

- Follow 424A form
- Identify all sources of project funds
- Indicate the approved indirect cost rate with the HHS Division of Cost Allocation
- Project costs for future years



Application Budget Con't

- Detailed Budget and Budget Justification
 - Provide the details of the budget; breakdown each line item
 - Provide thorough justification (e.g., cost per unit)
 - Detail personnel by salary, FTE charged (% of time), and fringe benefit information
 - Line by line breakdown for Federal and non-Federal sources
 - Salary Limitations – Salary of an individual compensated through this grant must not exceed Executive Level II, \$179,700 exclusive of fringe benefits and indirect costs





Webinar Agenda

II. Content Focus Overview

- ORI mission and FOA focus areas
- Specific Content Focus Research Application
- How is the proposal evaluated by reviewers?
 - Are all instructions followed? (time, length etc.)
 - Does the study meet the FOA focus?
 - Is there a convincing rationale that the study has value and can be accomplished?
 - Each Criteria of the evaluation is individually evaluated and then discussed by the reviewers



ORI's Mission

To promote the integrity of PHS supported research by two routes:

1. Respond effectively to allegations of research misconduct

2. Promote research integrity

Granting round contributes to this goal through studies that demonstrate ways to promote integrity & prevent misconduct

1 Responsible Conduct of Research: Primary Areas of RCR

1

~~Research
Misconduct~~

2

Human
Subjects

3

Animal
Welfare

4

Mentor/
Trainee
Responsibilities

5

Data
Acquisition,
Management,
Sharing, &
Ownership

6

Responsible
Authorship

7

Peer Review

8

Conflict of
Interest

9

Collaborate
with other
scientists

10

Responsibility
of
Scientists
in Society



The Four FOA Focus Areas

- 1. **Evaluating the Effectiveness of Educational Training in the Responsible Conduct of Research (RCR).**
 - Research which leads to the creation of a rigorous assessment tool which evaluates the long term effectiveness of RCR on researcher's integrity.



FOA Focus

- **2. Evaluating the Effectiveness of Educational RCR Resources**
 - Research and development of a tool that evaluates current and future resources to determine the effectiveness of RCR resources in promoting responsible research practices and preventing research misconduct





FOA Focus

- **3. Discovering and/or Evaluating Mechanisms that Institutions Can Effectively Employ to Foster Research Integrity:**
 - Impact of Auditing
 - Impact of Enhanced Mentoring
 - Impact of Institutional Policies





FOA Focus

- **4. Research Questions that Challenge and Test Theoretical Perspectives on Researchers' Integrity Behaviors.**
 - What causes deviant behavior?
 - What interventions can be done to prevent deviant behavior?





Specific Content of Project Narrative

1. Summary – Abstract

2. Aim of Research – problem being addressed and how will it help research integrity





Specific Content of Project Narrative

- **3. Research Design** - How will you study the research question and what you anticipate at the end of year 1 and year 2?
 - Significance
 - Innovation
 - Approach





Specific Content of Project Narrative

- **4. Evaluation**

- How will you evaluate whether the project is successful?

- **5. Dissemination.**

- How will you disseminate your results broadly?





Specific Content of Project Narrative

- **6. Project Management.**
 - Roles and responsibilities
 - Monitoring time line
 - PI's involvement in the project

- **7. Project Work Plan**
 - Deliverables of each year specified
 - Time frames





Appendix

8. Letters of Commitment from sub-organization is required

How will the organization support the project

9 . Organizational Capability Statement

- How the institution is organized and the capabilities it possesses
- Any additional information about experiences or record of the project team in conduct research, publications or reports
- Optional organizational chart to illustrate relationship of project to the institution
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Further Appendix Possibilities

- Human subject's protection and IRB plan - if relevant to the type of proposed research.
- Bibliography of the references mentioned in the proposal





Instructions to Reviewers on how to Evaluate and Score

- Significance of research to respond to FOA
- PI's skills to complete the research
- Innovation of research to the FOA
- Approach – is it well thought out?
- Final Product well described
- Budget – Is it well thought out?
- Human Subjects protection planned ?



Summary

- Write clear research questions – not in jargon and especially important if you are in a related discipline.
- Write with the reviewers in mind – how it will be evaluated.
- Be sure to make it clear what FOA focus you are addressing and why it is important.



Summary

- Give a good overview of prior research that has been done and how any research you have done is linked.
- Describe carefully your methods and how you will implement it.
- Describe the team and roles and responsibilities in detail and be sure your team has the expertise.



Summary

- Abstract and summary is equivalent to your elevator speech. It sets the stage and thus write it very carefully address each component specified in the application.
- Discuss limitations and how you would deal with them if they happen.
- Check your grammar and formatting.
- Review carefully each section of the application and **SUBMIT EARLY**





Notice of Award (NOA)

- Binding legal document that notifies the successful applicant of its selection; award amount; project & budget periods
- Includes any conditions on the award: requirements that must be met as a condition of receiving the grant funds
- Includes standard terms, reporting requirements and contact information for OGM and the OASH Program Office
- If you are selected, you should review this document thoroughly when you receive it
- Notices of Award are only issued electronically
- **Unsuccessful applicants will be notified by the ORI Program Office**



Contact Information

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